

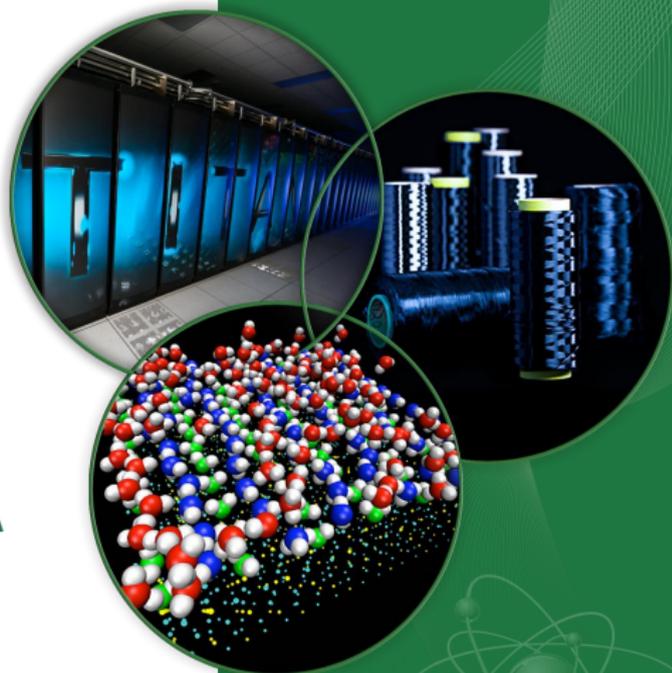
Detecting and Tracking Shifts in National Vegetation Composition Across the MODIS Era

Jitendra Kumar¹,
William W. Hargrove²,
Forrest M. Hoffman¹,
Steve Norman²

¹Oak Ridge National Laboratory,
²Southern Research Station, USDA
Forest Service

July 02, 2015

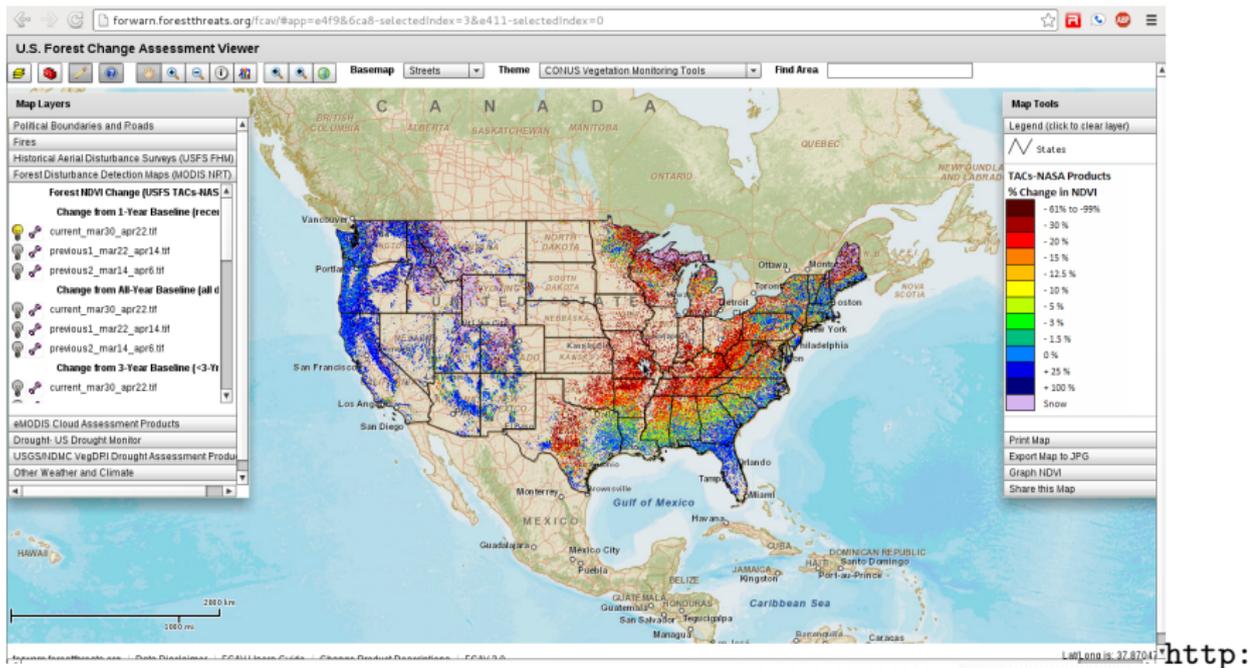
9th IALE World Congress 2015, Portland, Oregon USA



Change in Vegetation Composition

- ▶ Land cover maps characterizes the physical land types for any region such as forests, wetlands, impervious surfaces, agriculture, and other land and water types
- ▶ Land cover type and change maps are keys for a range of applications in forestry, hydrology, agriculture, geology etc.
- ▶ They are also critically important for management, planning and monitoring of natural resources
- ▶ **In this study we aim to develop computational approach and tools for National scale tracking and monitoring of vegetation change**

ForWarn: Near Real-Time Disturbance Monitoring



[//forwarn.forestthreats.org/fcav2](http://forwarn.forestthreats.org/fcav2)

ForWarn has been monitoring changes to vegetation at national scale since 2010. We want to further understand the impact of these natural and anthropogenic changes on composition and distribution of vegetation (land cover) at national scale

Land Cover Maps

- ▶ A number of efforts has been done and are ongoing to develop high resolution maps of land cover at national scale and to monitor changes
- ▶ Landcover classes (details and resolution) captured by the land cover mapping efforts have been based on the approach and purpose of the effort and often vary a lot

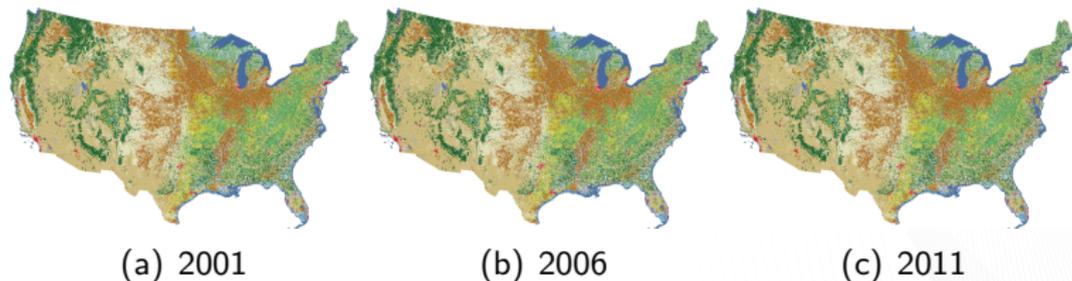


Figure: National Land Cover Database (NLCD) provides frequently updated landcover map at high resolution

National scale changes in land cover

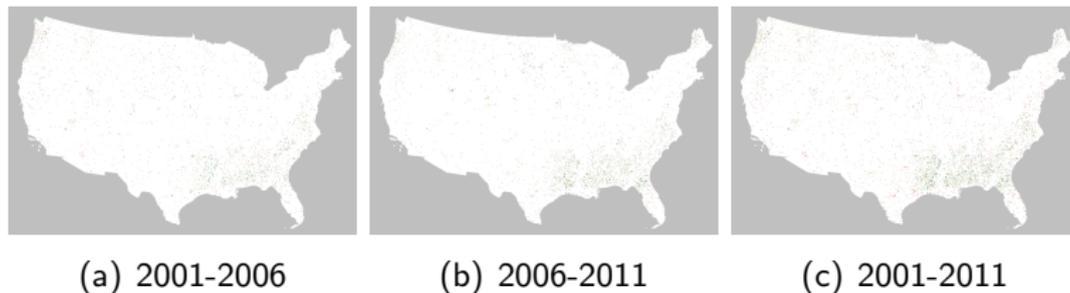


Figure: NLCD land cover changes

- ▶ At the granularity of NLCD types, landcover at national scale appear fairly stable
- ▶ NLCD maps are updated every 5 years, but a interannual variability and changes are important to understand

National Land Cover Database (NLCD): Changes

| <u>Landcover Type</u> | NLCD 2001 | NLCD 2006 | NLCD 2011 |
|---------------------------------|-----------|-----------|-----------|
| 11-Open_Water | 103.89 | 103.33 | 104.31 |
| 12-Perennial_Ice_Snow | 0.36 | 0.36 | 0.36 |
| 21-Developed_Open_Space | 64.16 | 64.79 | 65.00 |
| 22-Developed_Low_Intensity | 28.18 | 28.84 | 29.27 |
| 23-Developed_Medium_Intensity | 10.99 | 12.33 | 13.22 |
| 24-Developed_High_Intensity | 3.92 | 4.41 | 4.77 |
| 31-Barren_Land | 23.91 | 24.44 | 24.58 |
| 41-Deciduous_Forest | 220.50 | 219.12 | 216.53 |
| 42-Evergreen_Forest | 240.82 | 235.90 | 230.83 |
| 43-Mixed_Forest | 42.49 | 41.34 | 40.00 |
| 52-Shrub_Scrub | 423.83 | 427.16 | 431.53 |
| 71-Grassland_Herbaceous | 285.31 | 288.19 | 290.66 |
| 81-Pasture_Hay | 135.22 | 133.65 | 132.82 |
| 82-Cultivated_Crops | 310.02 | 309.45 | 309.62 |
| 90-Woody_Wetlands | 77.96 | 77.85 | 77.20 |
| 95-Emergent_Herbaceous_Wetlands | 25.08 | 25.46 | 25.95 |

Area: Million Acres

Objectives

1. Employ historical MODIS NDVI data sets (2000-2012) for continental scale vegetation (landcover) change analysis
2. Track/Quantify changes in land cover annually (routinely)
3. Complete accounting of winners/losers (donors and recipients)
4. Trajectories of change:
 - ▶ trajectory of changes experienced by any location
 - ▶ all locations experiencing similar trajectory of changes
5. (Attempt) to translate satellite derived changes to common land cover definitions

Normalized Difference Vegetation Index (NDVI)

- ▶ NDVI exploits the strong differences in plant reflectance between red and near-infrared wavelengths to provide a measure of “greenness” from remote sensing measurements.

$$\text{NDVI} = \frac{(\sigma_{\text{nir}} - \sigma_{\text{red}})}{(\sigma_{\text{nir}} + \sigma_{\text{red}})} \quad (1)$$

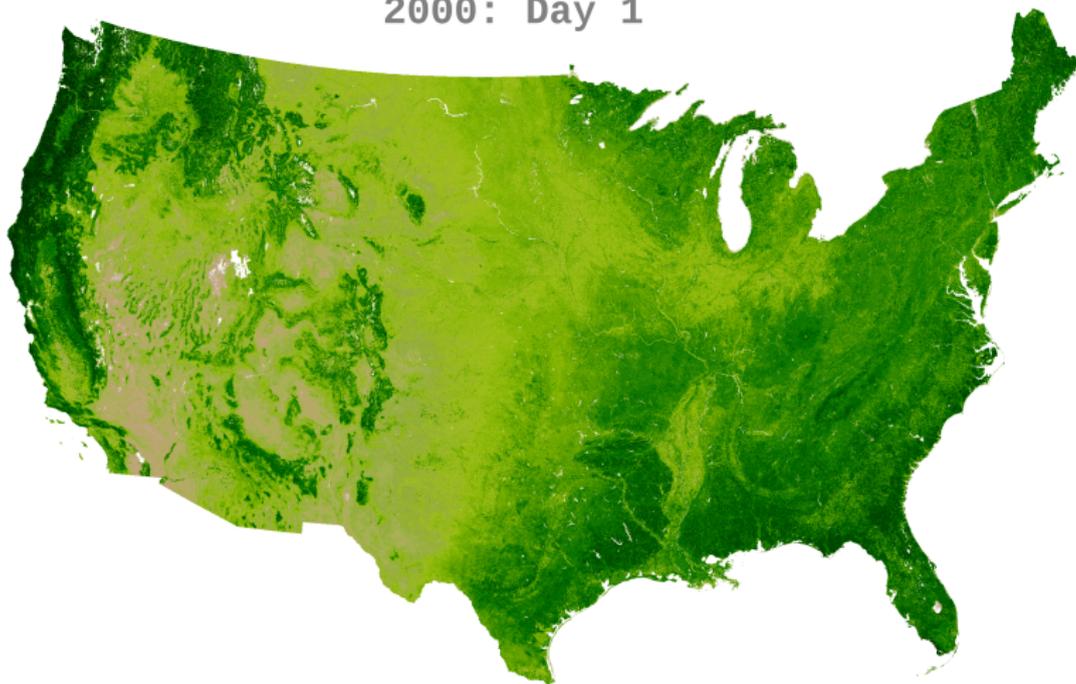
- ▶ These spectral reflectances are ratios of reflected over incoming radiation, $\sigma = I_r/I_i$, hence they take on values between 0.0 and 1.0. As a result, NDVI varies between -1.0 and $+1.0$.
- ▶ Dense vegetation cover is 0.3–0.8, soils are about 0.1–0.2, surface water is near 0.0, and clouds and snow are negative.

MODIS MOD13 NDVI Product

- ▶ The Moderate Resolution Imaging Spectroradiometer (MODIS) is a key instrument aboard the Terra (EOS AM, N→S) and Aqua (EOS PM, S→N) satellites.
- ▶ Both view the entire surface of Earth every 1 to 2 days, acquiring data in 36 spectral bands.
- ▶ The MOD 13 product provides Gridded Vegetation Indices (NDVI and EVI) to characterize vegetated surfaces.
- ▶ Available are 6 products at varying spatial (250 m, 1 km, 0.05°) and temporal (16-day, monthly) resolutions.
- ▶ The Terra and Aqua products are staggered in time so that a new product is available every 8 days.
- ▶ Results shown here are derived from the 8-day Terra+Aqua MODIS product at 231 m resolution, processed by NASA Stennis Space Center.

MODIS Normalized Difference Vegetation Index (NDVI)

2000: Day 1



Number of ~ 231 m pixels in CONUS = 146,395,381

Times series: $13 \times 46 = 598$

Data size = $146,395,381 \times 4 = 0.585$ GB/year 350.177 GB for 13 years
(single precision)

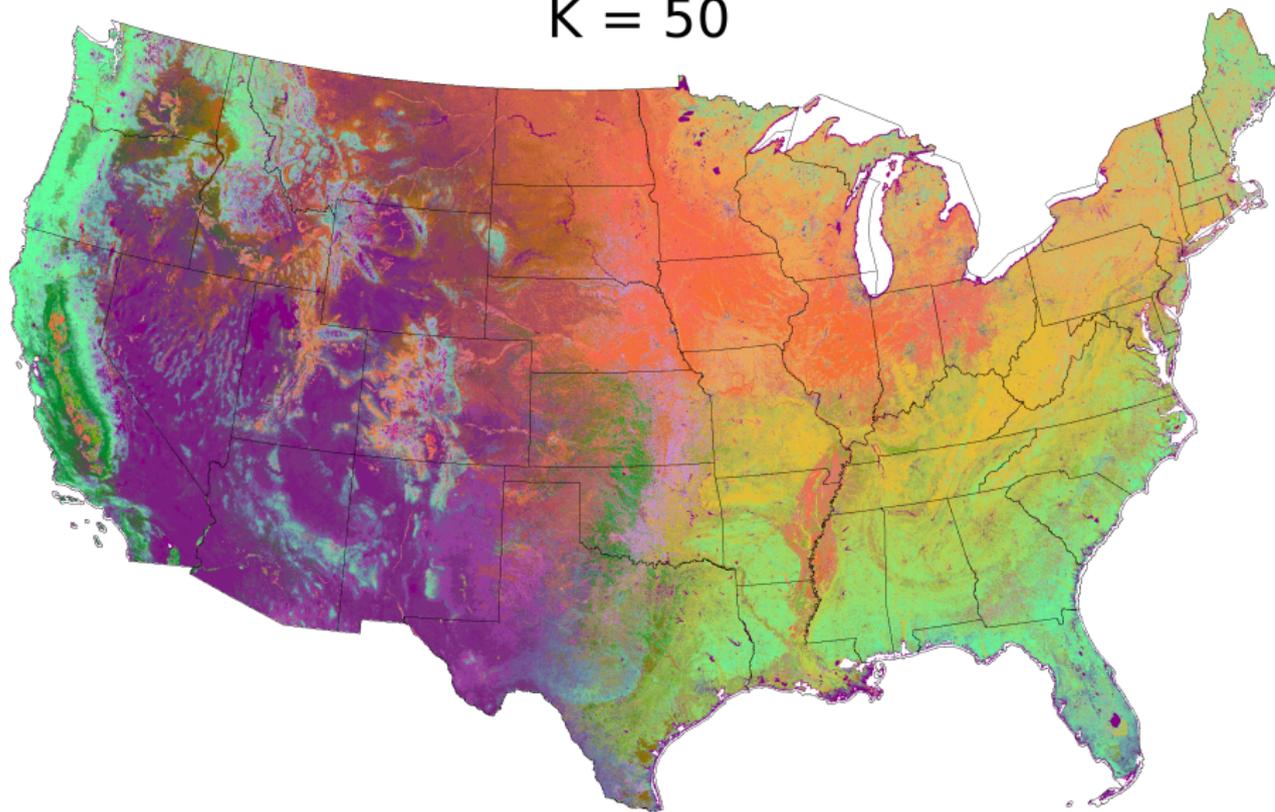
Phenoregions are statistically defined regions with similar phenological characteristics.

We employ a **Multi-variate Spatio Temporal Clustering** algorithm to identify regions with similar landsurface phenology using historical MODIS NDVI data sets. Phenological resolution OR smoothing can be controlled by tuning the k in the k -means algorithm.

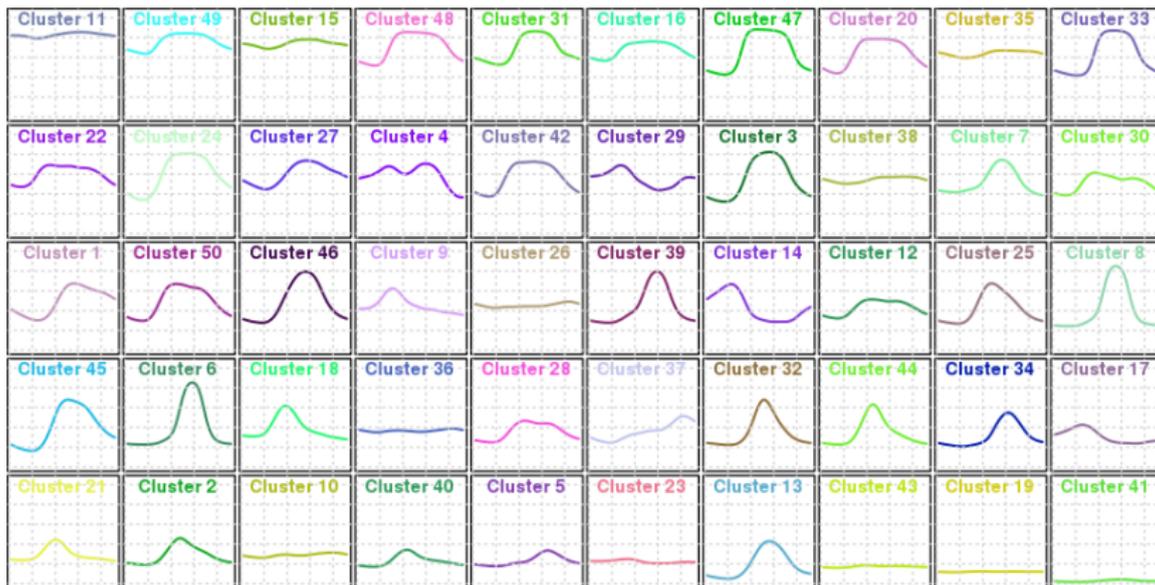
Instead of large volumes distinct land surface phenology associated with every MODIS pixel, Phenoregions provide a handful of smoothed and representative phenological profiles that can be used to represent the landscape.

Phenoregions at various resolution

$K = 50$

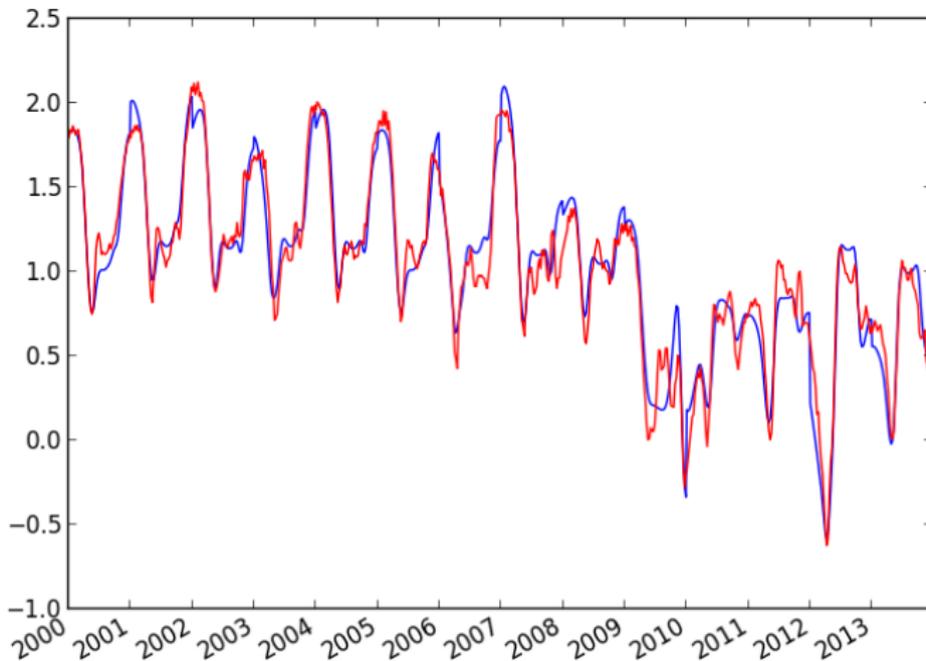


Phenological Signatures



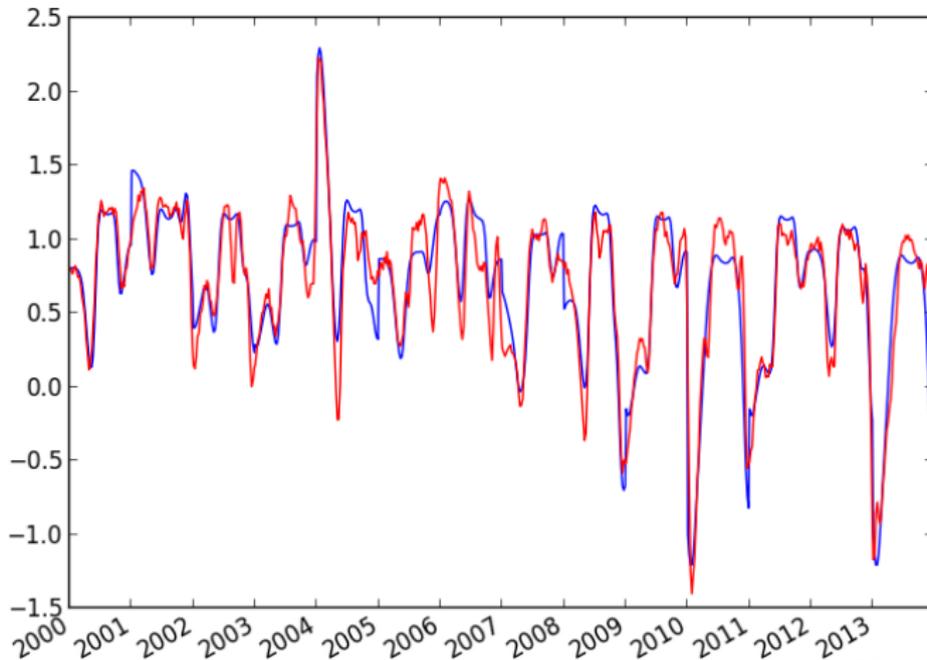
Unlike land cover maps (like NLCD) these signatures define our land cover types (or *Phenoregions*)

Effect of smoothing



MODIS NDVI time series (blue) and smoothed Phenoregion-based trajectory (red) at cell. All regions experiencing similar phenological trajectories of changes can be identified to allow tracking and monitoring of changes to assist with land management, conservation planning and decision making.

Effect of smoothing



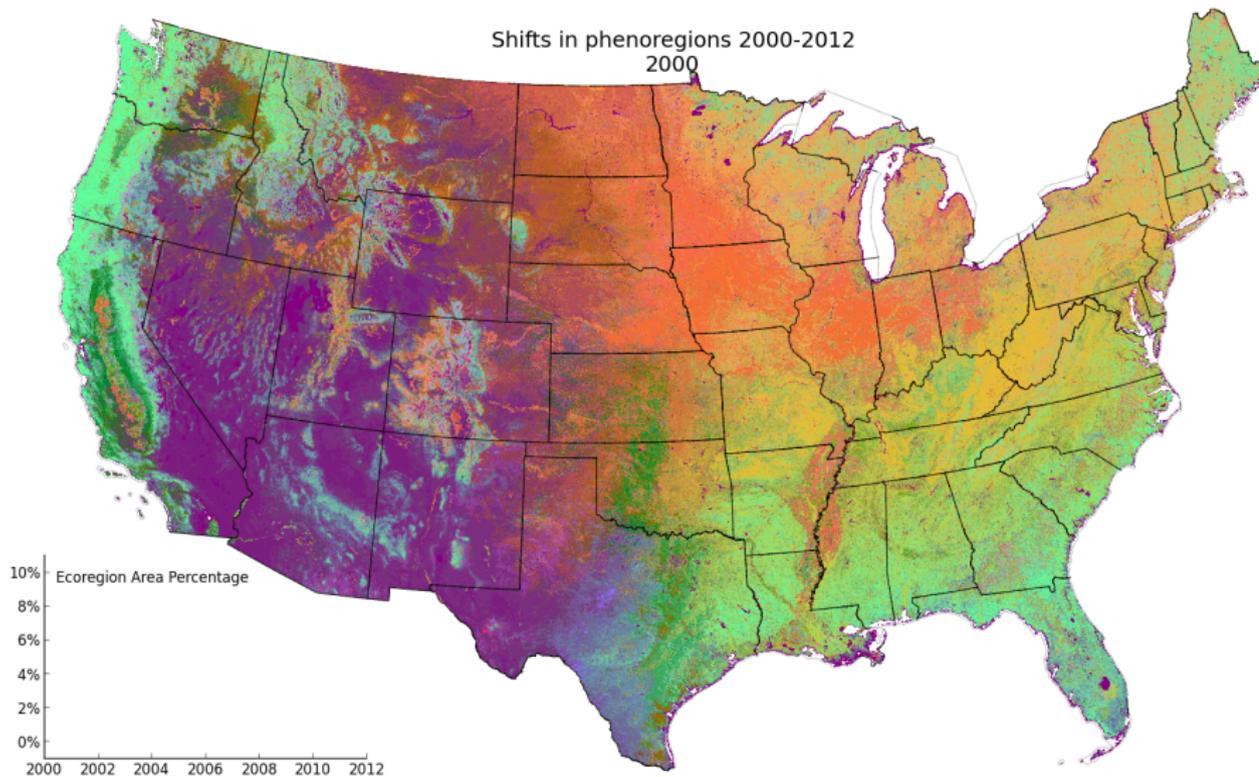
MODIS NDVI time series (blue) and smoothed Phenoregion-based trajectory (red) at cell. All regions experiencing similar phenological trajectories of changes can be identified to allow tracking and monitoring of changes to assist with land management, conservation planning and decision making.

Key Assumptions: Our analysis is based entirely on land surface phenology, without any ground-based validation (intractable at national scale).

Land cover type = Phenoregions = Phenological signatures

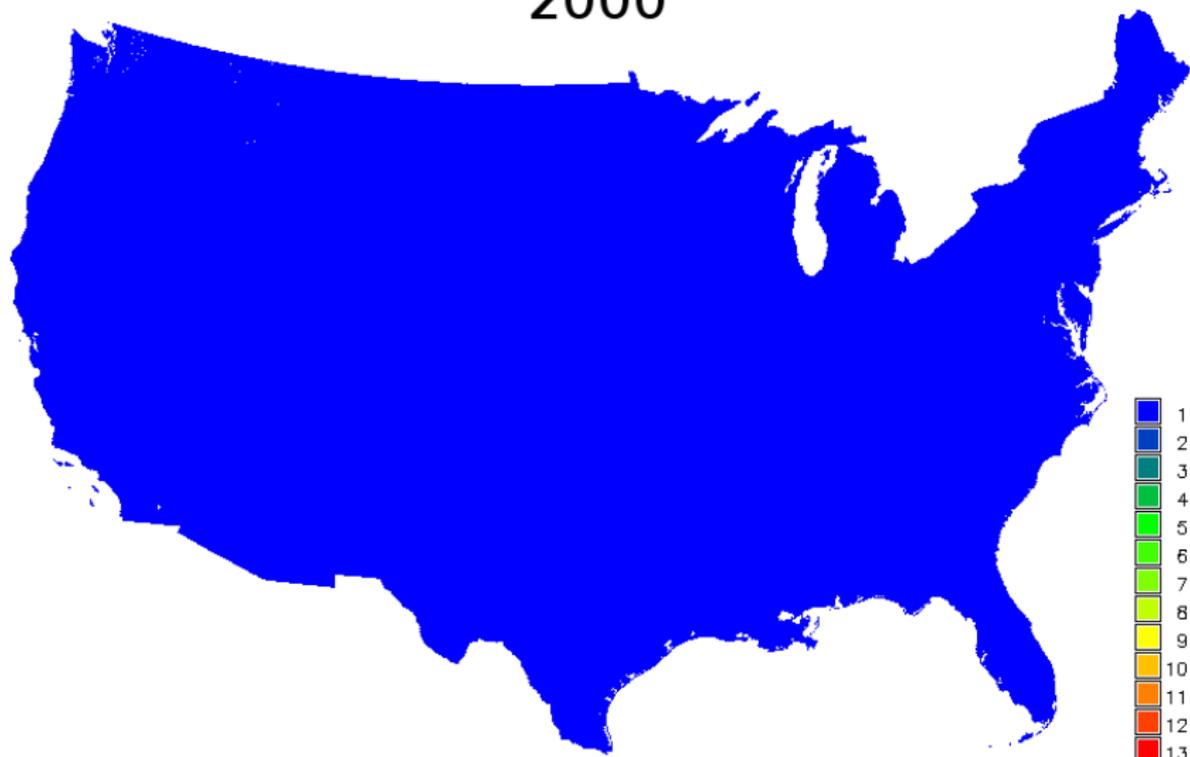
Land cover change = Vegetation change = Landsurface phenology change

Shifts in Phenoregions across time 2000-2012 K=50



Persistence of Phenoregions across time 2000-2012 K=50

2000



Transition Matrix 2000-2001

We develop transition matrix of changes between every pair of land cover types for any two given years. Matrix shows the area exchange between donors and recipients.

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 |
|----|-------|-------|-------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 1 | 10.84 | 0.00 | 2.95 | 4.58 | 0.00 | 0.00 | 11.32 | 0.00 | 2.04 | 0.00 | 0.00 | 3.19 | 0.00 | 1.63 | 0.00 | 0.00 | 0.00 |
| 2 | 0.00 | 36.52 | 0.00 | 0.00 | 1.82 | 0.00 | 0.00 | 0.00 | 0.00 | 2.27 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 3 | 0.00 | 0.00 | 48.71 | 0.00 | 0.00 | 0.00 | 3.33 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 4 | 10.53 | 0.00 | 1.68 | 4.10 | 0.00 | 0.00 | 7.98 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.59 | 3.02 | 0.00 |
| 5 | 0.00 | 20.54 | 0.00 | 0.00 | 14.27 | 0.00 | 0.00 | 0.00 | 0.00 | 4.78 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.31 |
| 6 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 51.53 | 0.00 | 24.06 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 7 | 6.65 | 0.00 | 9.84 | 3.04 | 0.00 | 0.00 | 26.26 | 0.00 | 0.00 | 0.00 | 0.00 | 2.45 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 8 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 34.14 | 0.00 | 31.23 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 9 | 2.57 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.35 | 0.00 | 18.80 | 0.00 | 0.00 | 10.84 | 0.00 | 3.58 | 0.00 | 0.00 | 2.04 |
| 10 | 0.00 | 5.72 | 0.00 | 0.00 | 1.11 | 0.00 | 0.00 | 0.00 | 0.00 | 33.63 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 6.57 |
| 11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 81.24 | 0.00 | 0.00 | 0.00 | 10.34 | 0.00 | 0.00 |
| 12 | 1.10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.78 | 0.00 | 11.08 | 0.00 | 0.00 | 27.34 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 13 | 0.00 | 6.23 | 0.00 | 0.00 | 4.64 | 1.43 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 40.18 | 0.00 | 0.00 | 0.00 | 1.20 |
| 14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 9.55 | 0.00 | 0.00 | 0.00 | 0.00 | 51.35 | 0.00 | 0.00 | 7.79 |
| 15 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 8.90 | 0.00 | 0.00 | 0.00 | 52.99 | 6.08 | 0.00 |
| 16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.85 | 43.55 | 0.00 |
| 17 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.79 | 10.29 | 0.00 | 0.00 | 0.00 | 2.96 | 0.00 | 0.00 | 40.45 |
| 18 | 0.00 | 1.10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 6.76 | 4.27 | 0.00 | 7.51 | 0.00 | 0.00 | 0.00 | 0.00 | 3.28 |
| 19 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 20 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.02 | 0.00 |
| 21 | 0.00 | 5.39 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 6.19 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 11.50 |
| 22 | 0.00 | 0.00 | 0.00 | 1.87 | 0.00 | 0.00 | 1.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 7.27 | 0.00 |

X-Axis: 2000 Y-Axis: 2001

Adding supervision to unsupervised classification

- ▶ Clustering is an unsupervised classification technique, so phenoregions have no descriptive labels like **Eastern Deciduous Forest Biome**.
- ▶ **Mapcurves** allows us to perform automated “supervision” to “steal” the best human-created descriptive labels to assign to phenoregions.
- ▶ We employ the **Mapcurves GOF** to select the best ecoregion labels from ecoregionalizations drawn by human experts.
- ▶ We consider an entire library of ecoregion and land cover maps, and choose the label with the highest GOF score for every phenoregion polygon.

Hargrove, William W., Forrest M. Hoffman, and Paul F. Hessburg. (2006) “Mapcurves: A Quantitative Method for Comparing Categorical Maps.” *J. Geograph. Syst.*, 8(2):187208. doi:10.1007/s10109-006-0025-x

Learn from the best!!

| | Expert Map | # Cats |
|-----|---|---------------|
| 1. | DeFries UMd Vegetation | 12 |
| 2. | Foley Land Cover | 14 |
| 3. | Fedorova, Volkova, and Varlyguin World Vegetation Cover | 31 |
| 4. | GAP National Land Cover | 578 |
| 5. | Holdridge Life Zones | 25 |
| 6. | Küchler Types | 117 |
| 7. | BATS Land Cover | 17 |
| 8. | IGBP Land Cover | 16 |
| 9. | Olson Global Ecoregions | 49 |
| 10. | Seasonal Land Cover Regions | 194 |
| 11. | USGS Land Cover | 24 |
| 12. | Leemans-Holdridge Life Zones | 26 |
| 13. | Matthews Vegetation Types | 19 |
| 14. | Major Land Resource Areas | 197 |
| 15. | National Land Cover Database 2006 | 16 |
| 16. | Wilson, Henderson, & Sellers Primary Vegetation Types | 23 |
| 17. | Landfire Vegetation Types | 443 |

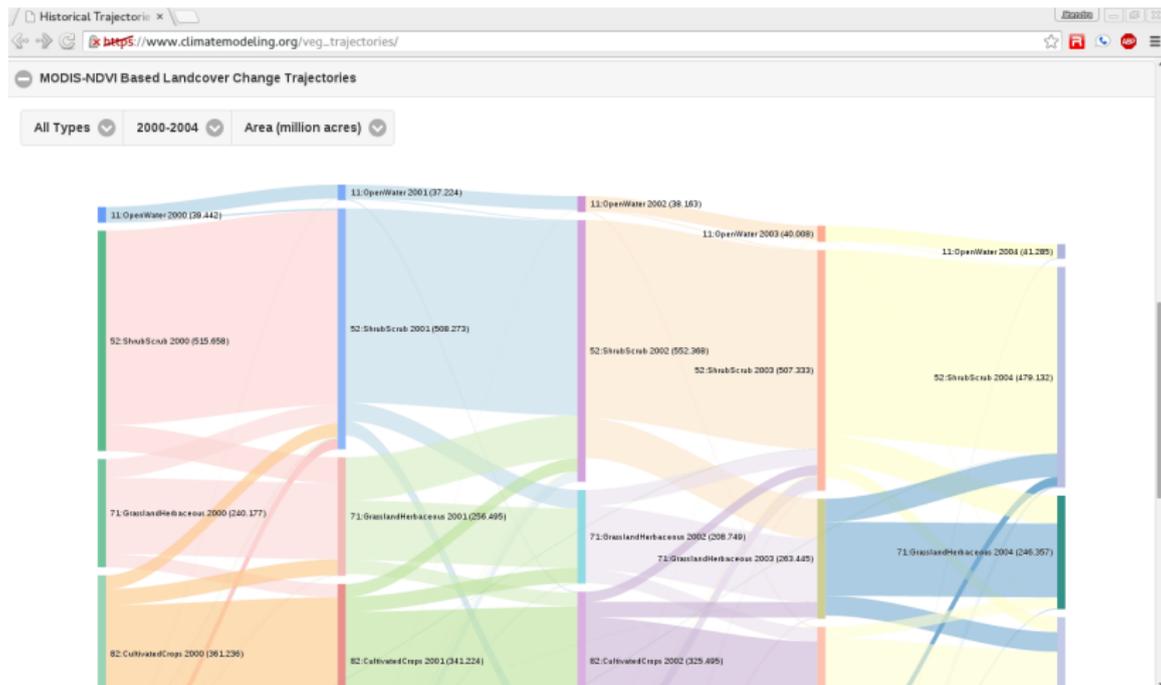
Transition Matrix 2000-2001 in terms of NLCD types

We develop transition matrix of changes between every pair of land cover types for any two given years. Matrix shows the area exchange between donors and recipients.

| | 11:Open | 12:Peren | 21:Deve | 22:Deve | 23:Deve | 24:Deve | 31:Barre | 41:Dee | 42:Eve | 43:Mix | 52:Shr | 71:Gr | 81:Pas | 82:C | 90:W | 95:Eme |
|---------------------------|---------|----------|---------|---------|---------|---------|----------|--------|--------|--------|--------|-------|--------|-------|-------|--------|
| 11:Open_water | 73.66 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.24 | 1.25 | 3.55 | 0.00 | 9.46 | 3.83 | 0.00 | 4.36 | 0.00 | 0.00 |
| 12:Perennial_ice_snow | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 21:Developed_open_space | 0.00 | 0.00 | 5.57 | 4.48 | 0.00 | 0.00 | 0.00 | 12.24 | 28.12 | 0.00 | 2.38 | 0.00 | 36.28 | 10.23 | 0.00 | 0.00 |
| 22:Developed_low_intensif | 0.00 | 0.00 | 0.00 | 23.49 | 0.00 | 0.00 | 0.00 | 3.95 | 15.90 | 0.00 | 6.76 | 12.36 | 16.94 | 19.33 | 0.00 | 0.00 |
| 23:Developed_medium_int | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 24:Developed_high_intens | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| 31:Barren_land | 10.08 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 59.69 | 0.00 | 0.00 | 0.00 | 28.28 | 1.13 | 0.00 | 0.00 | 0.00 | 0.00 |
| 41:Deciduous_forest | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 80.41 | 2.97 | 0.00 | 0.00 | 0.00 | 7.08 | 5.62 | 1.95 | 0.00 |
| 42:Evergreen_forest | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.45 | 78.10 | 0.00 | 7.25 | 0.00 | 3.64 | 3.59 | 2.12 | 0.00 |
| 43:Mixed_forest | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 29.56 | 35.25 | 26.36 | 0.00 | 0.00 | 0.00 | 0.00 | 7.89 | 0.00 |
| 52:Shrub_scrub | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.73 | 0.00 | 79.12 | 10.49 | 0.00 | 4.86 | 0.00 | 0.00 |
| 71:Grassland_herbaceous | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1.22 | 0.00 | 16.41 | 66.46 | 1.90 | 11.60 | 0.00 | 0.00 |
| 81:Pasture_hay | 0.00 | 0.00 | 0.00 | 1.15 | 0.00 | 0.00 | 0.00 | 17.32 | 8.93 | 0.00 | 1.53 | 1.95 | 54.97 | 11.25 | 2.33 | 0.00 |
| 82:Cultivated_crops | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 3.62 | 3.79 | 0.00 | 8.01 | 9.33 | 6.58 | 67.03 | 0.00 | 0.00 |
| 90:Woody_wetlands | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 26.08 | 25.20 | 1.45 | 0.00 | 0.00 | 6.35 | 2.73 | 37.93 | 0.00 |
| 95:Emergent_herbaceous | 0.00 | 0.00 | 0.00 | 3.03 | 0.00 | 0.00 | 0.00 | 0.00 | 19.10 | 0.00 | 35.86 | 13.28 | 3.89 | 18.03 | 0.00 | 6.46 |

X-Axis: 2000 Y-Axis: 2001

Web-Based Visualization

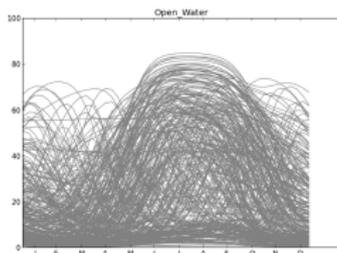


https://www.climatemodeling.org/veg_trajectories/

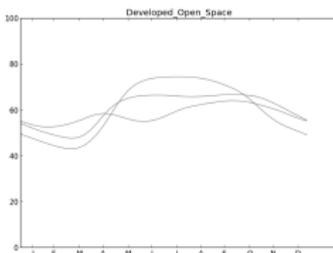
Limitations and Caveats

- ▶ We may have introduced some errors due to scale mismatch between NLCD 30m vs MODIS 231m
- ▶ Errors may have been introduced by using the existing landcover maps (like NLCD) for training
- ▶ Existing landcover maps lacks precision (vs MODIS landsurface phenology based *Phenoregions*)
- ▶ Landcover maps are static (*Phenoregions* are dynamic) and often misclassify large area at national scales

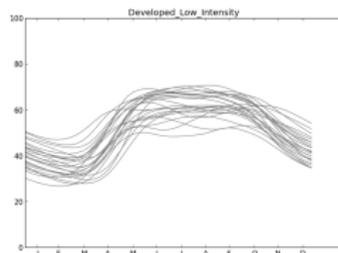
MODIS NDVI signatures associated with NLCD



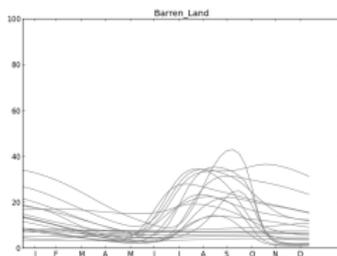
(a) Open Water: 103.33/40.73



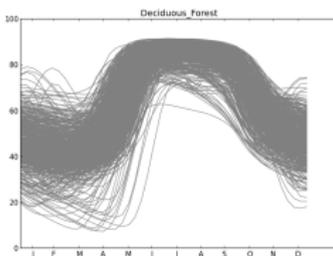
(b) Developed Open: 64.79/1.63



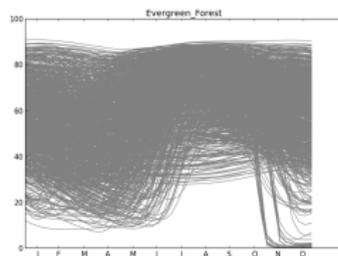
(c) Developed Low Intensity:
28.84/12.80



(d) Barren Land: 24.44/8.71

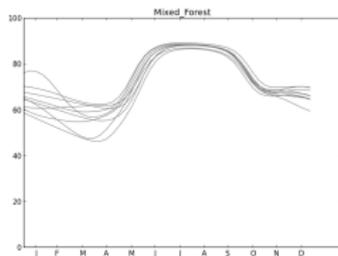


(e) Deciduous Forest:
219.12/278.42

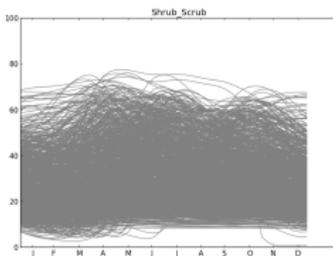


(f) Evergreen Forest:
235.90/310.72

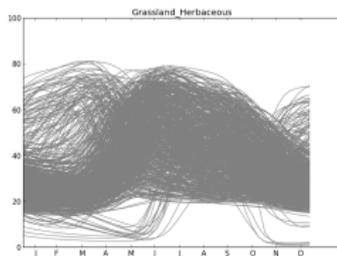
MODIS NDVI signatures associated with NLCD



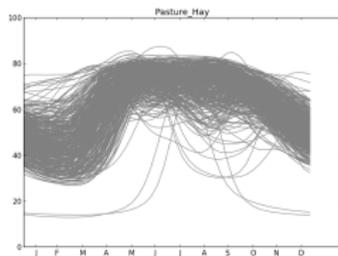
(g) Mixed Forest: 41.34/3.36



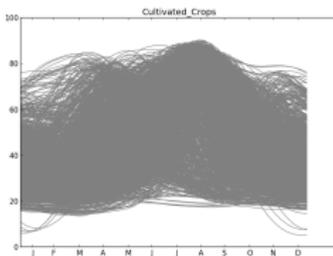
(h) Shrub/Scrub: 427.16/516.92



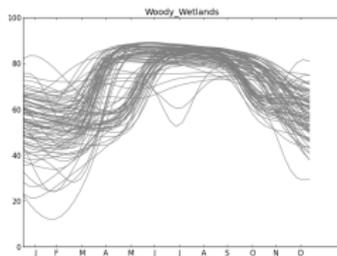
(i) Grassland: 288.19/278.13



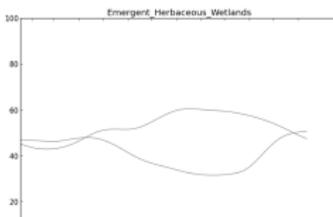
(j) Pasture/Hay: 133.65/117.60



(k) Crops: 309.45/339.60



(l) Woody Wetlands: 77.85/32.10



Summary

What we have done so far

- ▶ We have developed approach to identify and track trajectories of changes using historical MODIS land surface phenology
 - ▶ track trajectories of change at any geographic location
 - ▶ track all geographic locations experiencing a trajectory of change
- ▶ Method allows to choose granularity (in terms of land surface phenology) at which we want to identify and track changes
- ▶ We can track donors/recipients (winners/losers) through space and time using the massive MODIS NDVI data set

It's a work in progress

- ▶ Translation of MODIS NDVI-based *Phenoregions* to existing land cover maps suffers from several limitations.
- ▶ Overlaps calls for a fuzzy/probabilistic analysis of the change
- ▶ Visualization of this large and multi-variate spatio-temporal data to allow analysis and understanding is a challenge that we continue to work on