

# Have Land Surface Processes in Earth System Models Improved Over Time?

Forrest M. Hoffman<sup>1</sup>, Nathan Collier<sup>1</sup>, Mingquan Mu<sup>2</sup>, Cheng-En Yang<sup>3</sup>  
Gretchen Keppel-Aleks<sup>4</sup>, David M. Lawrence<sup>5</sup>, Charles D. Koven<sup>6</sup>, Min Xu<sup>1</sup>, Jiafu Mao<sup>1</sup>,  
Qing Zhu<sup>6</sup>, Zheng Shi<sup>1</sup>, J. Keith Moore<sup>2</sup>, Weiwei Fu<sup>2</sup>, Hyungjun Kim<sup>7</sup>, William J. Riley<sup>6</sup>,  
and James T. Randerson<sup>2</sup>

<sup>1</sup>Oak Ridge National Laboratory (ORNL), <sup>2</sup>University of California Irvine, <sup>3</sup>University of Tennessee Knoxville,  
<sup>4</sup>University of Michigan Ann Arbor, <sup>5</sup>National Center for Atmospheric Research (NCAR),  
<sup>6</sup>Lawrence Berkeley National Laboratory (LBNL), and <sup>7</sup>University of Tokyo



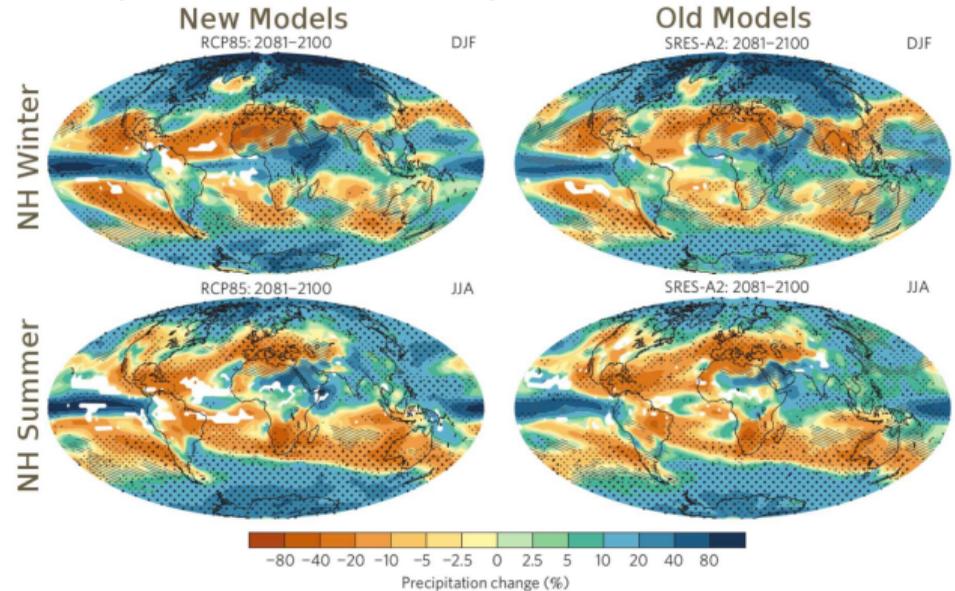
August 4, 2021



# Problem: Model Uncertainty

Model uncertainty is one of the biggest challenges we face in Earth system science, yet comparatively little effort is devoted to fixing it (Carslaw et al., 2018)

- ▶ Model complexity is rapidly increasing as detailed process representations are added
- ▶ Evidence shows overall model uncertainty is reduced only slowly and is sometimes increased (Knutti and Sedláček, 2013)
- ▶ A balance must be struck between model “elaboration” and efforts to reduce model uncertainty



Patterns of precipitation change across two generations of models. Adapted from Knutti and Sedláček (2013).

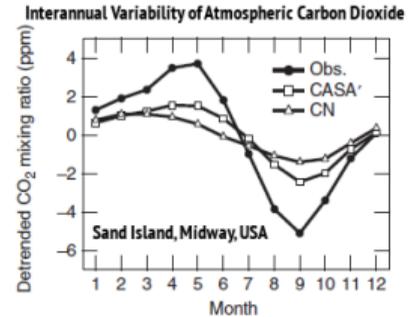
# Why is Reducing Uncertainty a Challenge?

- ▶ Ecosystems have complex responses to a wide range of forcing factors in heterogeneous spatial environments, requiring a highly multivariate approach
- ▶ The focus is on adding complexity (e.g., more detailed representations of plant traits, photosynthesis, nutrient limitation, respiration), assuming more processes is better
- ▶ However, model uncertainty may increase, even as predictions of states and fluxes improve
- ▶ Rigorous confrontation of models with independent observations and large ensembles of simulations are required to reduce uncertainty
- ▶ Modeling centers have a limited capacity to conduct sensitivity experiments and systematically assess model fidelity, especially in fully coupled Earth system models
- ▶ Community-developed benchmarking tools are beginning to address part of the solution

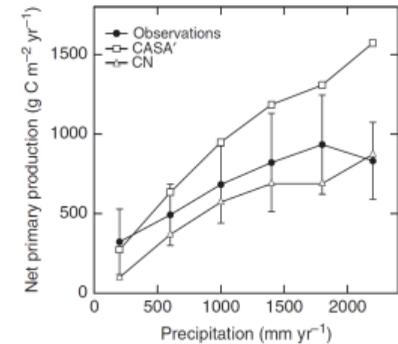


# What Is A Benchmark?

- ▶ A **benchmark** is a quantitative test of model function achieved through comparison of model results with observational data
- ▶ Acceptable performance on benchmarks **is a necessary but not sufficient condition** for a fully functioning model
- ▶ **Functional benchmarks** offer tests of model responses to forcings and yield insights into ecosystem processes
- ▶ Effective benchmarks must draw upon **a broad set of independent observations** to evaluate model performance at multiple scales



*Models often fail to capture the amplitude of the seasonal cycle of atmospheric CO<sub>2</sub>*



*Models may reproduce correct responses over only a limited range of forcing variables*

(Randerson et al., 2009)

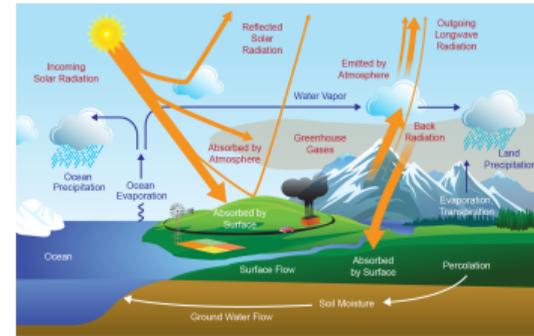
# What is ILAMB?

Originally, ILAMB was a community activity designed to:

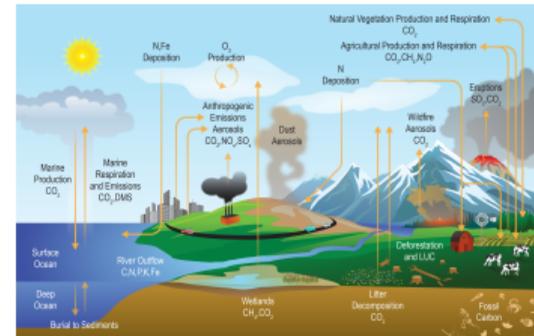
- ▶ **Develop internationally accepted benchmarks** for land model performance by drawing upon collaborative expertise
- ▶ **Promote the use of these benchmarks** for model intercomparison
- ▶ **Strengthen linkages between experimental, remote sensing, and climate modeling communities** in the design of new model tests
- ▶ **Support the development of open source benchmarking tools**

Now, ILAMB is a:

- ▶ **Community:** global group of modelers and scientists enthusiastic about benchmarking
- ▶ **Datasets:** curated collection of datasets formatted for easy data-model integration
- ▶ **Methods:** standard library of techniques for benchmarking models
- ▶ **Software:** an extensible open source Python package
- ▶ **Results:** an easy-to-use catalog of model-data comparisons



*Energy and Water Cycles*



*Carbon and Biogeochemical Cycles*

# ILAMB Produces Diagnostics and Scores Models

- ▶ ILAMB generates a top-level **portrait plot** of model scores
- ▶ For every variable and dataset, ILAMB automatically produces
  - ▶ **Tables** containing individual metrics and metric scores (when relevant to the data), including
    - ▶ Reference and model **period mean**
    - ▶ **Bias** and **bias score** ( $S_{\text{bias}}$ )
    - ▶ **Root-mean-square error (RMSE)** and **RMSE score** ( $S_{\text{rmse}}$ )
    - ▶ **Phase shift** and **seasonal cycle score** ( $S_{\text{phase}}$ )
    - ▶ **Interannual coefficient of variation** and **IAV score** ( $S_{\text{iav}}$ )
    - ▶ **Spatial distribution score** ( $S_{\text{dist}}$ )
    - ▶ **Overall score** ( $S_{\text{overall}}$ )  $\implies S_{\text{overall}} = \frac{S_{\text{bias}} + 2S_{\text{rmse}} + S_{\text{phase}} + S_{\text{iav}} + S_{\text{dist}}}{1+2+1+1+1}$
  - ▶ **Graphical diagnostics**
    - ▶ Spatial contour maps
    - ▶ Time series line plots
    - ▶ Spatial Taylor diagrams (Taylor, 2001)
- ▶ Similar **tables** and **graphical diagnostics** for functional relationships
- ▶ ILAMB design, theory, and implementation are described in Collier et al. (2018)

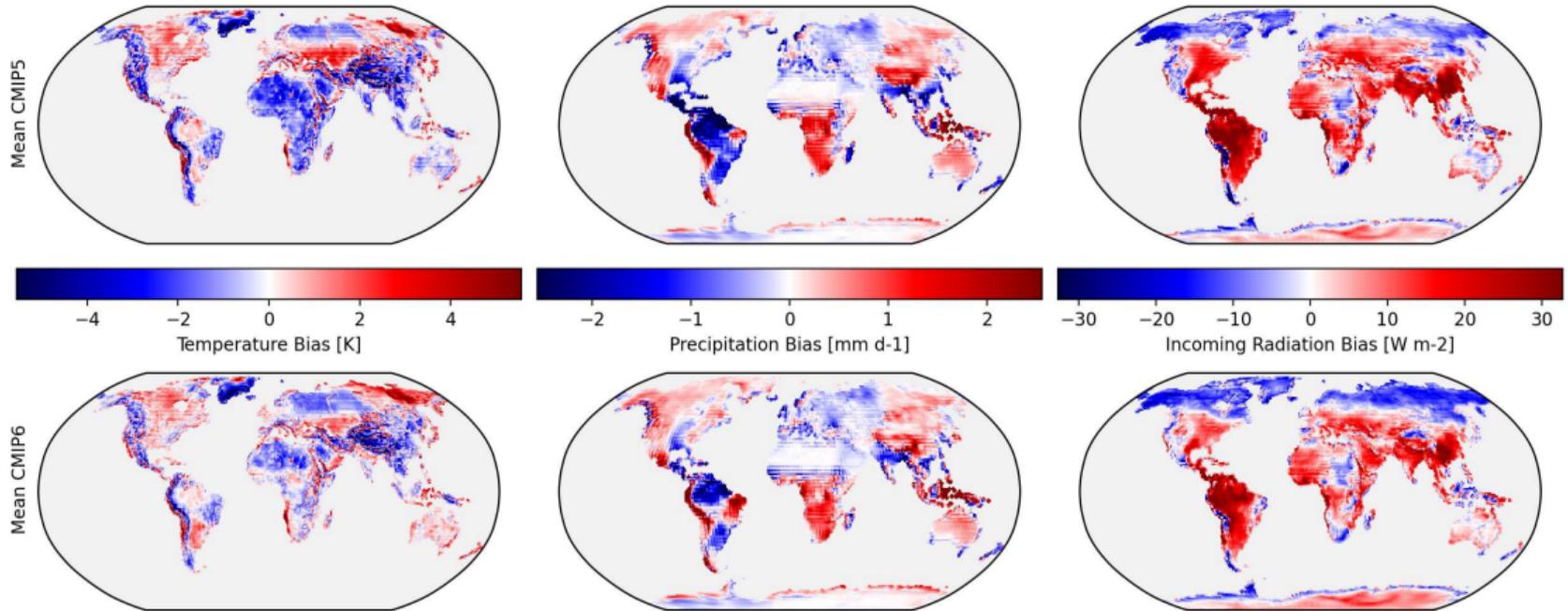
# ILAMBv2.5 Package Current Variables

- ▶ **Biogeochemistry:** Biomass (Contiguous US, Pan Tropical Forest), Burned area (GFED4.1s), CO<sub>2</sub> (NOAA GMD, Mauna Loa), Gross primary production (Fluxnet, FLUXCOM), Leaf area index (AVHRR, MODIS), Global net ecosystem carbon flux (GCP, Khatiwala/Hoffman), Net ecosystem exchange (Fluxnet, FLUXCOM), Ecosystem respiration (Fluxnet, FLUXCOM), Soil C (HWSD, NCSCDv2, Koven)
- ▶ **Hydrology:** Evapotranspiration (GLEAM, MODIS), Evaporative fraction (FLUXCOM), Latent heat (Fluxnet, FLUXCOM, DOLCE), Permafrost (NSIDC), Runoff (Dai, LORA), Sensible heat (Fluxnet, FLUXCOM), Terrestrial water storage anomaly (GRACE)
- ▶ **Energy:** Albedo (CERES, GEWEX.SRB), Surface upward and net SW/LW radiation (CERES, GEWEX.SRB, WRMC.BSRN), Surface net radiation (CERES, GEWEX.SRB, WRMC.BSRN)
- ▶ **Forcing:** Surface air temperature (CRU, Fluxnet), Diurnal max/min/range temperature (CRU), Precipitation (CMAP, Fluxnet, GPCC, GPCP2), Surface relative humidity (ERA), Surface down SW/LW radiation (Fluxnet, CERES, GEWEX.SRB, WRMC.BSRN)



# Reasons for Land Model Improvements

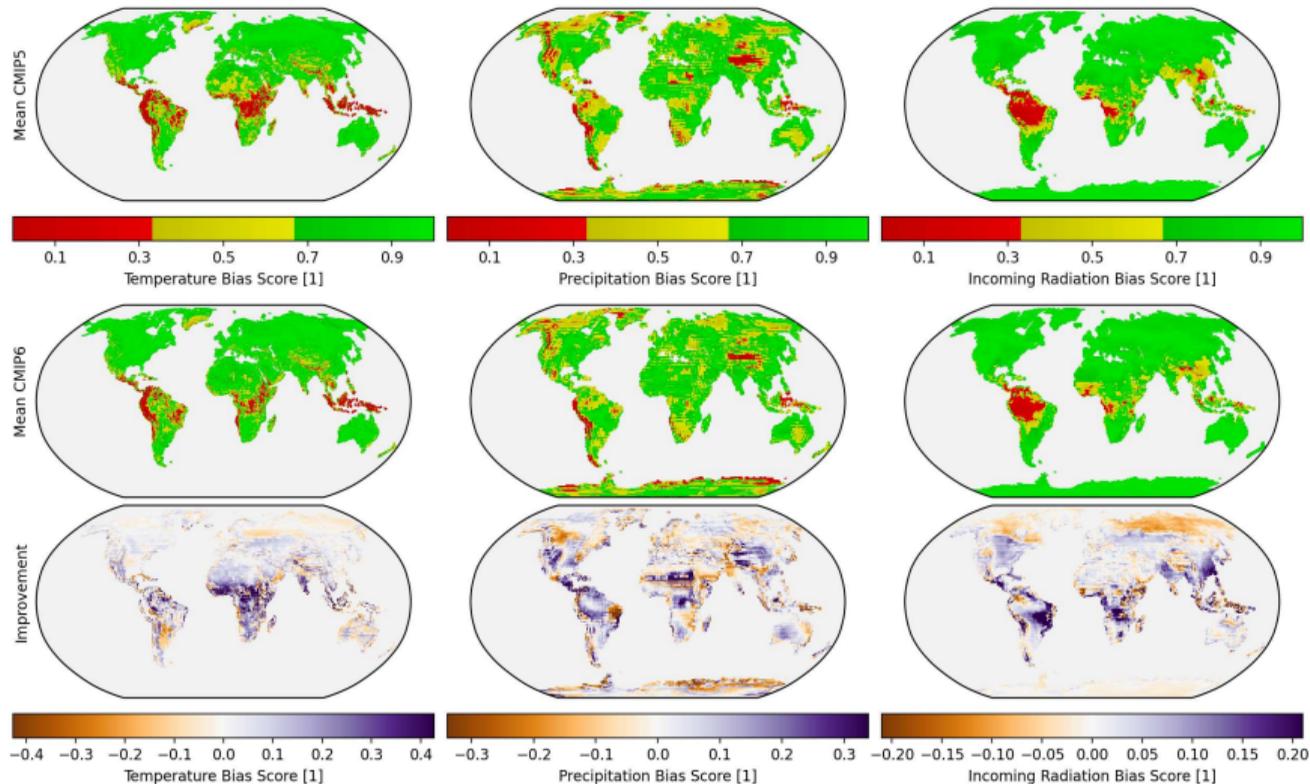
ESM improvements in climate forcings (temperature, precipitation, radiation) likely partially drove improvements exhibited by land carbon cycle models



(Hoffman et al., in prep.)

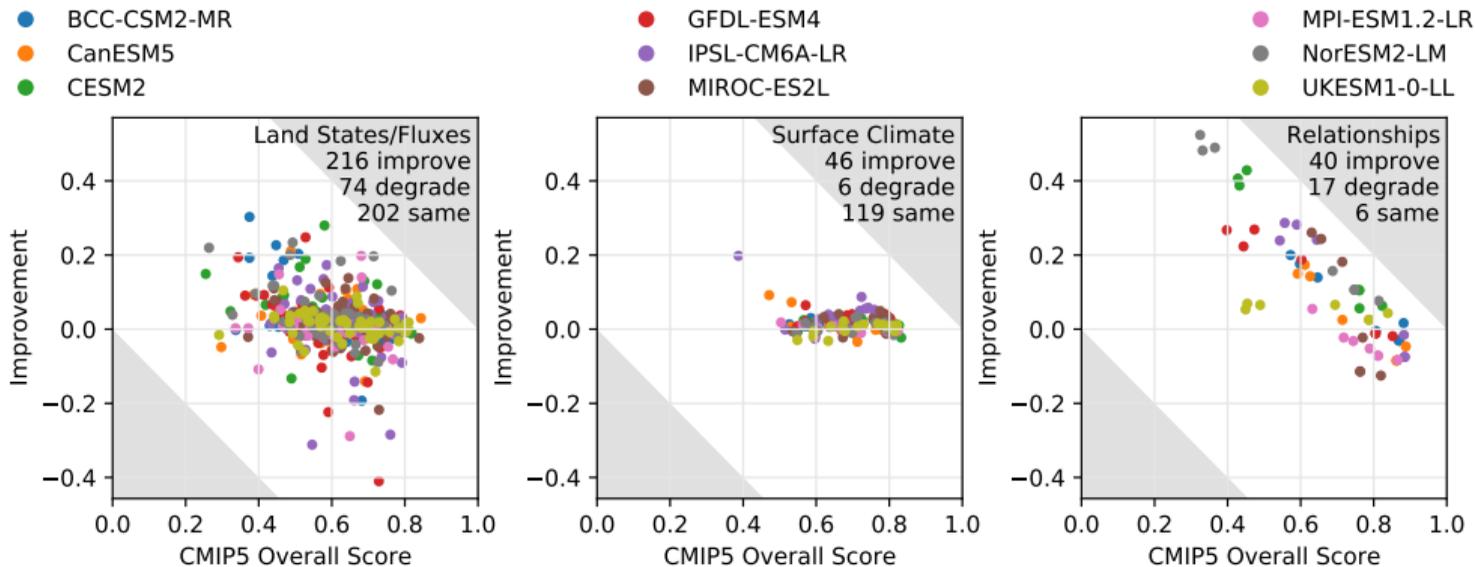
# Reasons for Land Model Improvements

Differences in bias scores for temperature, precipitation, and incoming radiation were primarily positive, further indicating more realistic climate representation by the fully coupled ESMs



(Hoffman et al., in prep.)

# Reasons for Land Model Improvements



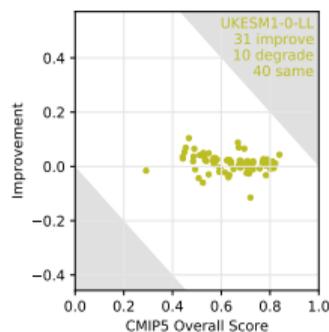
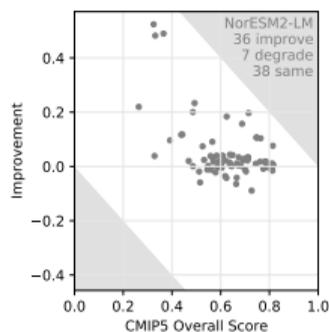
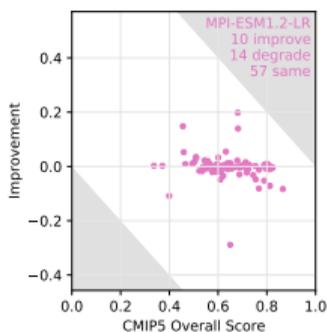
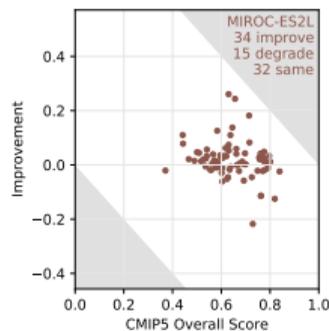
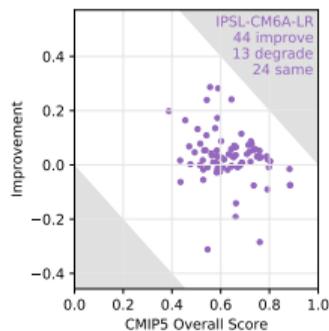
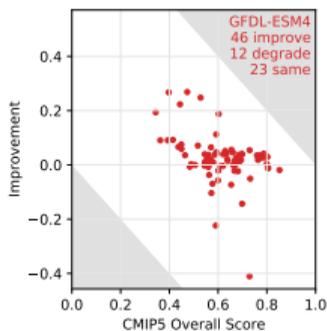
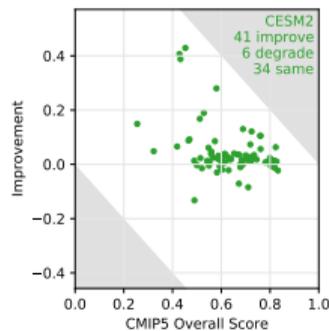
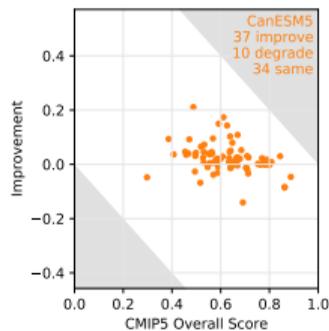
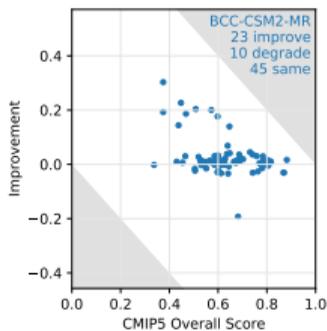
(Hoffman et al., in prep.)

Across all land models, scores for most state and flux variables improved (216) or remained nearly the same (202), although some were degraded (74). While atmospheric forcings from CMIP6 ESMs were improved over those from CMIP5 ESMs, the largest improvements were in land model **variable-to-variable relationships**, suggesting that increased land model development was also partially responsible for higher CMIP6 land model scores.

# Improvements by Land Model

- ▶ Experience indicates that improvements in some model aspects will lead to degradation in some other aspects
- ▶ Here, all models except MPI-ESM1.2-LR showed more improvements than degradations
- ▶ CESM2 and NorESM2-LM had the largest ratio of improvements to degradations
- ▶ UKESM1-0-LL exhibited the smallest variation in scores between CMIP5 and CMIP6

(Hoffman et al., in prep.)



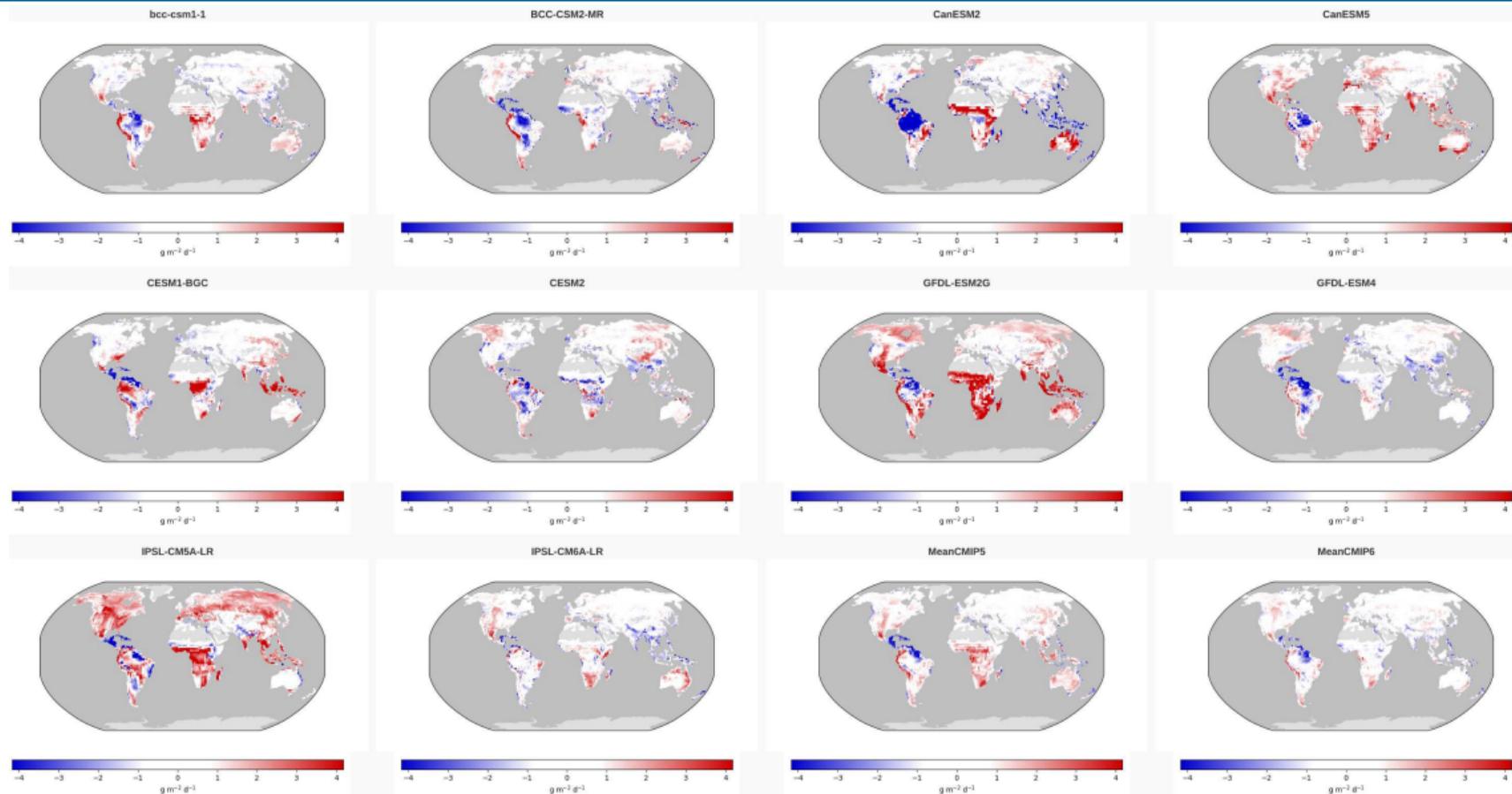
# CMIP5 and CMIP6 Land Model Global GPP

Benchmark	Download Data	Period Mean (original grids) [Pg yr <sup>-2</sup> ]	Model Period Mean (intersection) [Pg yr <sup>-2</sup> ]	Benchmark Period Mean (intersection) [Pg yr <sup>-2</sup> ]	Model Period Mean (complement) [Pg yr <sup>-2</sup> ]	Benchmark Period Mean (complement) [Pg yr <sup>-2</sup> ]	Bias [g m <sup>-2</sup> d <sup>-1</sup> ]	RMSE [g m <sup>-2</sup> d <sup>-1</sup> ]	Phase Shift [months]	Bias Score [1]	RMSE Score [1]	Seasonal Cycle Score [1]	Spatial Distribution Score [1]	Overall Score [1]	
Benchmark	<a href="#">[1]</a> 114.														
bcc-csm1-1	<a href="#">[1]</a> 123.	112.	114.	8.79	0.0945		0.238	1.51	1.01		0.484	0.435	0.830	0.955	0.628
BCC-CSM2-MR	<a href="#">[1]</a> 114.	107.	113.	5.88	0.671		-0.0233	1.52	1.11		0.479	0.447	0.817	0.941	0.626
CanESM2	<a href="#">[1]</a> 129.	117.	114.	9.54			0.0601	2.31	2.00		0.388	0.437	0.850	0.838	0.549
CanESM5	<a href="#">[1]</a> 141.	128.	114.	10.1			0.730	1.87	1.60		0.449	0.418	0.710	0.948	0.589
CESM1-BGC	<a href="#">[1]</a> 129.	123.	113.	5.55	0.660		0.379	1.66	1.20		0.426	0.468	0.765	0.889	0.603
CESM2	<a href="#">[1]</a> 110.	104.	113.	5.57	0.642		-0.0542	1.62	1.32		0.458	0.466	0.774	0.933	0.619
GFDL-ESM2G	<a href="#">[1]</a> 167.	152.	114.	12.4			1.26	2.78	1.38		0.377	0.288	0.735	0.897	0.517
GFDL-ESM4	<a href="#">[1]</a> 105.	99.0	114.	6.18			-0.177	1.59	1.49		0.495	0.403	0.702	0.939	0.588
IPSL-CM5A-LR	<a href="#">[1]</a> 165.	150.	113.	11.7	0.515		1.18	2.68	1.20		0.327	0.352	0.781	0.896	0.542
IPSL-CM6A-LR	<a href="#">[1]</a> 115.	109.	113.	5.27	0.708		0.111	1.39	1.14		0.547	0.477	0.790	0.961	0.650
MeanCMIP5	<a href="#">[1]</a> 121.	115.	114.	6.65			0.574	1.41	0.981		0.494	0.502	0.799	0.965	0.652
MeanCMIP6	<a href="#">[1]</a> 116.	110.	114.	6.26			0.129	1.17	0.931		0.572	0.522	0.826	0.956	0.678
MIROC-ESM	<a href="#">[1]</a> 129.	118.	102.	9.04	11.4		0.396	1.90	1.27		0.463	0.435	0.767	0.920	0.604
MIROC-ESM2L	<a href="#">[1]</a> 116.	104.	113.	9.90	0.119		-0.0111	1.95	1.99		0.409	0.379	0.828	0.920	0.543
MPI-ESM-LR	<a href="#">[1]</a> 169.	159.	104.	8.91	9.81		1.36	2.36	1.29		0.402	0.371	0.715	0.930	0.558
MPI-ESM1.2-LR	<a href="#">[1]</a> 141.	133.	104.	6.89	9.81		0.725	2.06	1.13		0.409	0.393	0.769	0.925	0.578
NorESM1-ME	<a href="#">[1]</a> 129.	120.	114.	7.82			0.386	1.86	1.25		0.387	0.456	0.761	0.856	0.583
NorESM2-LM	<a href="#">[1]</a> 107.	97.5	114.	7.59			-0.0828	1.63	1.31		0.443	0.472	0.791	0.938	0.623
UK-HadGEM2-ES	<a href="#">[1]</a> 137.	130.	113.	6.93	0.848		0.602	2.01	1.10		0.389	0.388	0.820	0.855	0.568
UKESM1-0-LL	<a href="#">[1]</a> 126.	119.	113.	7.06	0.825		0.387	1.77	1.16		0.436	0.419	0.791	0.924	0.598

- ▶ Most models of the same lineage improved in various characteristics between CMIP5 and CMIP6
- ▶ The MeanCMIP5 and MeanCMIP6 models perform the best

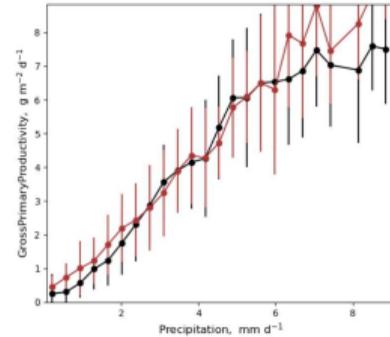
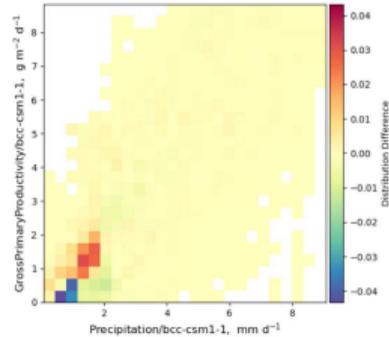
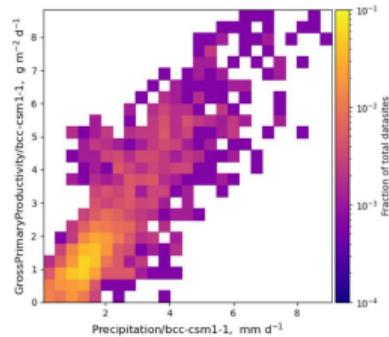
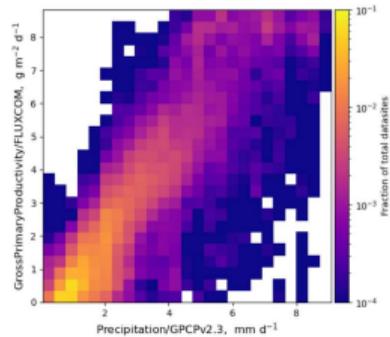
(Hoffman et al., in prep.)

# Spatial Distribution of Global GPP Biases



# Relationships of Global GPP with Precipitation and Temperature

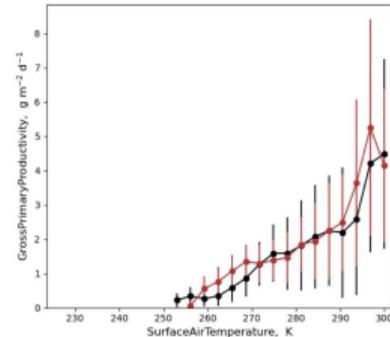
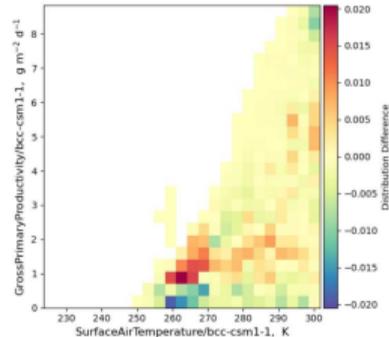
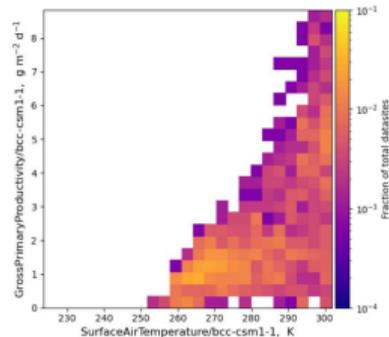
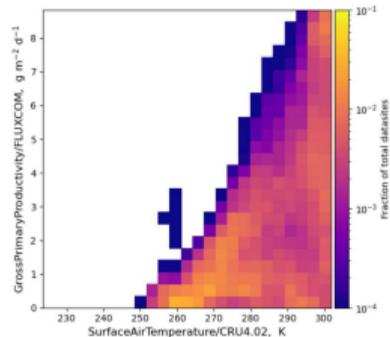
— Precipitation/GPCPv2.3



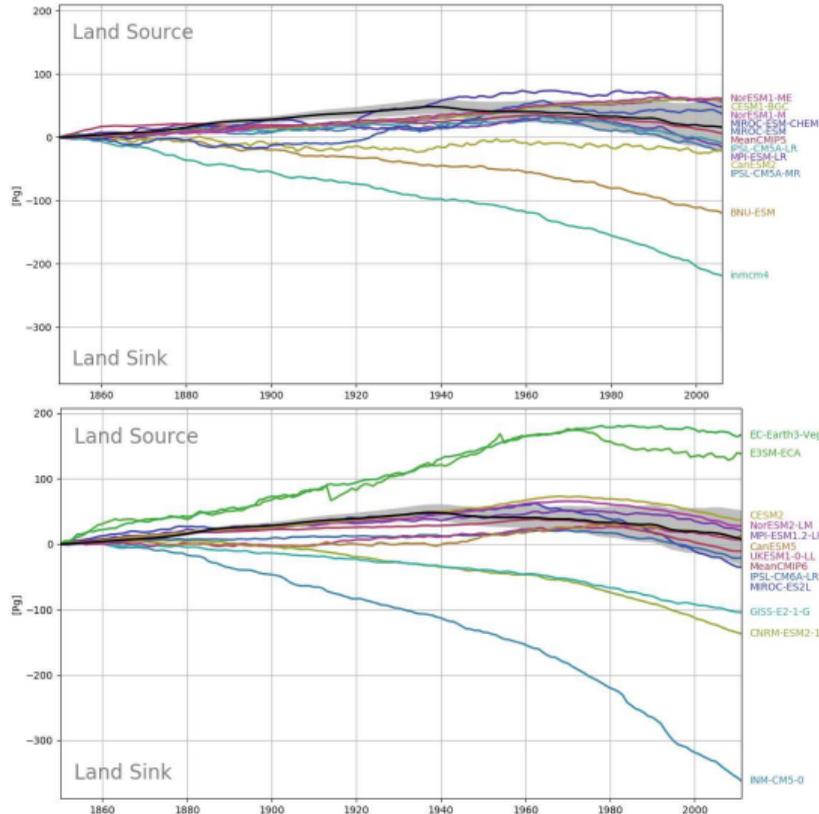
+ SurfaceDownwardSWRadiation/CERESed4.1

+ SurfaceNetSWRadiation/CERESed4.1

— SurfaceAirTemperature/CRU4.02



# Land Model Spread in Net Ecosystem Carbon Balance



- ▶ The spread in the net ecosystem carbon balance increased between CMIP5 and CMIP6
  - ▶ CMIP5 at 2005:  
-215 Pg to 75 Pg → 290 Pg
  - ▶ CMIP6 at 2010:  
-360 Pg to 175 Pg → 535 Pg
- ▶ However, the range from most multi-generation models was reduced

(Hoffman et al., in prep.)

# Conclusions and Future Research

- ▶ CMIP6 land models performed better than CMIP5 land models due to **(1) improved climate forcing from fully coupled ESMs** and **(2) improved process representation**
- ▶ **Variable-to-variable relationships** exhibited the largest improvements for some models
- ▶ CMIP6 model results are more valuable for impact and adaptation/mitigation analysis
- ▶ Model improvements in mean states and fluxes may not result in reduced uncertainty or projected model spread
- ▶ Upon further examination, will improved multi-model performance result in reduced spread in feedback sensitivities, projected land carbon storage, and future climate change?
- ▶ Can ILAMB scores be used to weight contributions to multi-model means to reduce contemporary biases, reduce projected uncertainties, or alter expected mitigation targets?

# Acknowledgments



U.S. DEPARTMENT OF  
**ENERGY**

Office of Science

This research was supported by the *Reducing Uncertainties in Biogeochemical Interactions through Synthesis and Computation Science Focus Area (RUBISCO SFA)*, which is sponsored by the Regional and Global Model Analysis (RGMA) activity of the Earth & Environmental Systems Modeling (EESM) Program in the Climate and Environmental Sciences Division (CESD) of the Office of Biological and Environmental Research (BER) in the US Department of Energy (DOE) Office of Science. Additional support was provided by the Laboratory Directed Research and Development Program of Oak Ridge National Laboratory, which is managed by UT-Battelle, LLC, for the US Department of Energy under contract DE-AC05-00OR22725.

We acknowledge the World Climate Research Programme (WCRP), which, through its Working Group on Coupled Modelling (WGCM), coordinated and promoted the Coupled Model Intercomparison Project phase 6 (CMIP6). We thank the climate modeling groups for producing and making available their model output, the Earth System Grid Federation (ESGF) for archiving the data and providing access, and the multiple funding agencies that support CMIP6 and ESGF. This research used resources of the National Energy Research Scientific Computing Center (NERSC), a DOE Office of Science User Facility supported by the Office of Science of the US Department of Energy under Contract No. DE-AC02-05CH11231. We thank DOE's RGMA activity, Data Management Program, and NERSC for making this coordinated CMIP6 analysis activity possible.



# References

- G. B. Bonan, D. L. Lombardozzi, W. R. Wieder, K. W. Oleson, D. M. Lawrence, F. M. Hoffman, and N. Collier. Model structure and climate data uncertainty in historical simulations of the terrestrial carbon cycle (1850–2014). *Global Biogeochem. Cycles*, 33(10):1310–1326, Oct. 2019. doi:10.1029/2019GB006175.
- K. S. Carslaw, L. A. Lee, L. A. Regayre, and J. S. Johnson. Climate models are uncertain, but we can do something about it. *Eos Trans. AGU*, 99, Feb. 2018. doi:10.1029/2018EO093757.
- N. Collier, F. M. Hoffman, D. M. Lawrence, G. Keppel-Aleks, C. D. Koven, W. J. Riley, M. Mu, and J. T. Randerson. The International Land Model Benchmarking (ILAMB) system: Design, theory, and implementation. *J. Adv. Model. Earth Sy.*, 10(11):2731–2754, Nov. 2018. doi:10.1029/2018MS001354.
- V. Eyring, P. M. Cox, G. M. Flato, P. J. Gleckler, et al. Taking climate model evaluation to the next level. *Nat. Clim. Change*, 9(2):102–110, Feb. 2019. doi:10.1038/s41558-018-0355-y.
- S. Hobeichi, G. Abramowitz, and J. Evans. Conserving land–atmosphere synthesis suite (class). *J. Clim.*, 33(5):1821–1844, Mar. 2020. doi:10.1175/JCLI-D-19-0036.1.
- F. M. Hoffman, C. D. Koven, G. Keppel-Aleks, D. M. Lawrence, W. J. Riley, J. T. Randerson, et al. International Land Model Benchmarking (ILAMB) 2016 workshop report. Technical Report DOE/SC-0186, U.S. Department of Energy, Office of Science, Germantown, Maryland, USA, Apr. 2017.
- R. Knutti and J. Sedláček. Robustness and uncertainties in the new CMIP5 climate model projections. *Nat. Clim. Change*, 3(4):369–373, Apr. 2013. doi:10.1038/nclimate1716.
- D. M. Lawrence, R. A. Fisher, C. D. Koven, K. W. Oleson, S. C. Swenson, et al. The Community Land Model version 5: Description of new features, benchmarking, and impact of forcing uncertainty. *J. Adv. Model. Earth Sy.*, 11(12):4245–4287, Dec. 2019. doi:10.1029/2018MS001583.
- Y. Q. Luo, J. T. Randerson, et al. A framework for benchmarking land models. *Biogeosci.*, 9(10):3857–3874, Oct. 2012. doi:10.5194/bg-9-3857-2012.
- J. T. Randerson, F. M. Hoffman, P. E. Thornton, N. M. Mahowald, K. Lindsay, Y.-H. Lee, C. D. Nevison, S. C. Doney, G. Bonan, R. Stöckli, C. Covey, S. W. Running, and I. Y. Fung. Systematic assessment of terrestrial biogeochemistry in coupled climate-carbon models. *Glob. Change Biol.*, 15(9):2462–2484, Sept. 2009. doi:10.1111/j.1365-2486.2009.01912.x.
- K. E. Taylor. Summarizing multiple aspects of model performance in a single diagram. *J. Geophys. Res. Atmos.*, 106(D7):7183–7192, Apr. 2001. doi:10.1029/2000JD900719.
- W. R. Wieder, D. M. Lawrence, R. A. Fisher, et al. Beyond static benchmarking: Using experimental manipulations to evaluate land model assumptions. *Global Biogeochem. Cycles*, 33:1289–1309, Oct. 2019. doi:10.1029/2018GB006141.

